

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 113025.120US2)

2871  
2882  
HS  
PC

In re Application of: Lee et al. ) Examiner: Wang  
Serial No. 10/043,896 )  
Filing Date: January 9, 2002 ) Group Art Unit: 2882

For: HIGH DENSITY INTEGRATED OPTICAL CHIP

\*\*\*\*\*  
CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Date: 8/7/03

Erica Wheatley  
Erica Wheatley

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing in the above-identified patent application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages);
2. Form PTO-1449 Citing 3 References;
3. Payment of the \$180.00 Surcharge Fee;
4. Certificate of First Class Mailing; and
5. Return Postcard.

Please charge the \$180.00 surcharge fee and any additional fees that might be due in connection with this matter to Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the number below.

Respectfully submitted,

  
Joseph F. Haag, Reg. No. 42,612  
Attorney/Agent for Applicants

Date: 8-7-03  
HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6000  
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## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R., Applicants submit herewith the three references on the attached Form PTO-1449, copies of which are enclosed. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97 (c) (1), after the mailing of a first Office Action on the merits, but before the mailing date of either a Notice of Allowance or a Final Office Action. I hereby state under 37 C.F.R. § 1.97 (e) (1) that U.S. Patent Nos. 4,773,720 and 5,737,458 on the attached Form PTO-1449 were cited in a communication (copy enclosed) from a foreign patent office on a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. U.S. Patent No. 6,253,015 B1 was not cited in the aforementioned foreign communication; therefore, pursuant to 37 C.F.R. § 1.97 (e)(2), the \$180.00 fee should be charged to Deposit Account No. 08-0219.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to the patentability of the invention, or that the listed document is prior art. Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application. If it should be determined that any of the listed documents constitute prior art under United States law, Applicants reserve the right to present to the Office relevant facts and law regarding the significance of such documents to the patentability of the claimed invention.

U.S. Patent Application No. 10/043,896  
Supplemental Information Disclosure Statement  
Page Two

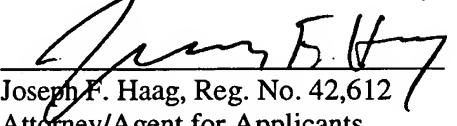
It is respectfully requested that the Examiner initial and return copies of the enclosed form PTO-1449 with the next Patent Office communication.

Please charge the \$180.00 fee and any additional fees that might be due in connection with this matter to Deposit Account Number 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

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Attorney/Agent for Applicants

Sub. For, PTO-1449

Docket Number  
113025.120US2Application Number  
10/043,896INFORMATION DISCLOSURE  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant  
Lee et al.

Filing Date

Group Art Unit

January 9, 2002

2882

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,773,720	Sep 27, 1988	Hammer	350	96.2	Jun 3, 1986
	5,737,458	Apr 7, 1998	Wojnarowski et al.	385	15	Mar 22, 1995
	6,253,015 B1	Jun 26, 2001	Ukrainczyk	385	130	Feb 8, 2000

## Foreign Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	